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JC961 U.S. PTO

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PTO/SB/05 (08-00)

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	<i>Attorney Docket No.</i>	<b>H052617.1131US0</b>
	<i>First Named Inventor or Application identifier</i>	<b>JIM S. KUO</b>
<b>ADDRESS TO:</b> Assistant Commissioner of Patents Box Patent Application Washington, DC 20231	<i>Title</i>	<b>DYNAMIC LOAD BALANCING IN A MULTI-BUS COMPUTER SYSTEM</b>
	<i>Express Mail No.</i>	<b>EL827177635US</b>

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

- 1. ☒ Fee Transmittal Form PTO/SB/17(submit in duplicate)
- 2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
- 3. ☒ Specification [Total Pages: 13]
- 4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 8]
- 5. ☒ Oath or Declaration [Total Pages: 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with No. 17 completed)

**[Note No. 6 below]**

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 6. ☐ **Incorporation By Reference (useable if No. 5b is checked)**  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under No. 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- 7. ☐ **Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)**
  - a. ☐ Computer Readable Copy (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies
- 8. ☐ **Application Data Sheet. See 37 CFR 1.76**

- 9. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)


**ACCOMPANYING APPLICATION PARTS**

- 10. ☒ Assignment Papers (cover sheet & document(s))
- 11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
- 12. ☒ Power of Attorney
- 13. ☐ English Translation Document (if applicable)
- 14. ☒ Information Disclosure Statement (IDS)/PTO-1449
  - ☒ Copies of IDS Citations
- 15. ☐ Preliminary Amendment
- 16. ☒ Return Receipt Postcard (Itemized)
- 17. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 18. ☐ Other: \_\_\_\_\_

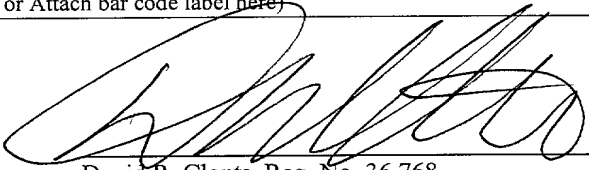
- 19. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

- ☐ Continuation
- ☐ Divisional
- ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_  
Prior Application Information: \_\_\_\_\_  
Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

<b>20. Correspondence Address</b>	
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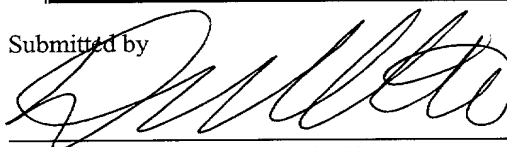
Date: 12/31/01

  
David R. Clonts, Reg. No. 36,768

<b>FEE TRANSMITTAL for FY 2002</b>  <i>Patent fees are subject to annual revision</i>		<b>Complete if Known</b>	
		Application Number	
		Filing Date	December 31, 2001
		First Named Inventor	JIM S. KUO
		Examiner Name	
		Group / Art Unit	
Total Amount of Payment	\$924.00	Attorney Docket No.	H052617.1131US0

<b>METHOD OF PAYMENT (check one)</b>						<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																									
<b>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</b> Deposit Account No.: <u>16-2435</u> Deposit Account Name: <u>Akin, Gump, Strauss, Hauer &amp; Feld, L.L.P.</u> <input checked="" type="checkbox"/> Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27						<b>3. Additional Fees</b>  <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Large Entity</th> <th>Small Fee Code</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>\$ 130</td><td>205</td><td>\$ 65</td><td>Surcharge - late fee or oath</td><td>\$</td></tr> <tr><td>127</td><td>\$ 50</td><td>227</td><td>\$ 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>\$</td></tr> <tr><td>147</td><td>\$2,520</td><td>147</td><td>\$2,520</td><td>Request for Reexamination</td><td>\$</td></tr> <tr><td>112</td><td>\$920*</td><td>112</td><td>\$920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>\$</td></tr> <tr><td>113</td><td>\$1840*</td><td>113</td><td>\$1840*</td><td>Requesting publication of SIR after Examiner action</td><td>\$</td></tr> <tr><td>115</td><td>\$ 110</td><td>215</td><td>\$ 55</td><td>Extension for reply within first month</td><td>\$</td></tr> <tr><td>116</td><td>\$ 400</td><td>216</td><td>\$ 200</td><td>Extension for reply within second month</td><td>\$</td></tr> <tr><td>117</td><td>\$ 920</td><td>217</td><td>\$ 460</td><td>Extension for reply within third month</td><td>\$</td></tr> <tr><td>118</td><td>\$1,440</td><td>218</td><td>\$ 720</td><td>Extension for reply within fourth month</td><td>\$</td></tr> <tr><td>128</td><td>\$1,960</td><td>228</td><td>\$ 980</td><td>Extension for reply within fifth month</td><td>\$</td></tr> <tr><td>119</td><td>\$ 320</td><td>219</td><td>\$ 160</td><td>Notice of Appeal</td><td>\$</td></tr> <tr><td>120</td><td>\$ 320</td><td>220</td><td>\$ 160</td><td>Filing a brief in support of an appeal</td><td>\$</td></tr> <tr><td>121</td><td>\$ 280</td><td>221</td><td>\$ 140</td><td>Request for oral hearing</td><td>\$</td></tr> <tr><td>140</td><td>\$ 110</td><td>240</td><td>\$ 55</td><td>Petition to revive - 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Submitted by



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